

## Event and Status Codes/Disposition Codes for RDD Samples

**EVENT CODE:** Describes what happened on a particular call to the case. At least one event code will be written to the record of events for each call made or each time a change to the status code is made by the supervisor or the CATI system.

**STATUS CODE:** Summarizes all activity to date on the case. It is updated automatically by the CATI system after each new event.

### Event Codes

CODE	LABEL	DESCRIPTION/COMMENTS
02	RESET MSSD APPT	An appointment missed by TSU has been reset.
03	CB, APPT BY TI	Appointment set by the interviewer based upon information available about the household.
04	RESET BY SUP	A supervisor has manually reset the status code.
11	RING, NO ANSWER	Phone rings 8 times but no one answers.
12	BUSY	Fast busy or regular busy. On the first busy, redial will automatically be scheduled for 15 minutes later. If all 10 of the first calls have resulted in a busy, the case will be automatically coded out as a nonworking number.
13	OTHER ANS MAC	Answering machine message which does not indicate that a business has been reached.
15	BUS ANS MACH	Answering machine message which states or implies that a business has been reached. The case will be called one more time (weekday, daytime) before being coded out as a business.
19	MOBILE PHONE	Cases with no previous contact will be automatically coded out. Others will continue to be attempted
20	MODEM / FAX	If there has been no previous contact, one additional attempt will be made to the number before closing it out.
21	LINE TROUBLE	Calls which do not connect at all, in which static is too severe to make contact, or in which a double wrong connection occurs.
22	TEMP DISC	Calls in which a recording or operator specifically says that the problem is temporary. After three attempts at least a week apart have been made, case will be coded out.
23	BEEPER/PAGER	Two or three beeps or a recording indicating this is a pager number. Cases with no previous contact will be automatically coded out. Others will continue to be attempted.
24	PAY PH/BLOCK #	Number serves a pay phone or a recording indicates that incoming calls to the number are blocked.
25	NW OR DISC #	Recordings which do not explicitly state that the condition is temporary. Cases with no previous contact will be automatically coded out. Others will be put on hold one week before being attempted again. If a nonworking event occurs again, the case will be finalized with status 75.
27	# CHANGED	Phone company recording indicates that the number dialed has been changed.
30	CB, HARD APPT	Appointment set with the respondent himself/herself.
31	CB, SOFT APPT	Appointment suggested by someone else in the household.
35	CONT-NO CB INFO	Someone was contacted on the call, but no information about a callback time was provided and the interviewer is not able to estimate a time for callback.

CODE	LABEL	DESCRIPTION/COMMENTS
40	LANG BARR—SPAN	Code entered automatically when interviewer indicates that a language barrier has occurred. The case will be called again in a different time slot. If a second language barrier occurs, the case will be coded as a final language barrier.
41	LANG BARR—OTH	Assigned when a language barrier is encountered by a Spanish-speaking interviewer. One callback will be made at a different time of day before the case is considered a final language barrier.
45	REF BY ELIGIBLE	Refusal and/or hang up by a person known or assumed to be an eligible respondent. Case will be put on hold for one week, after which conversion will be attempted. On the second refusal by eligible, the case will be coded as a final refusal.
46	REF BY OTHER	Someone in the household attempts to refuse for the respondent. Case will be put on hold for one week, after which conversion will be attempted. After three refusals by other, final status code 81 will be assigned.
61	CLOSEOUT	No one at the number has been contacted after 12 attempts. CATI has closed out the case.
70	UNAVAIL FOR DUR	The person selected for interview is in the country, but unavailable (e.g. in hospital, at camp) for the duration of study.
71	OUT OF COUNTRY	The person selected for interview is out of the country for the duration of the study.
72	INCAPABLE	The person selected for interview is incapable of completing a telephone interview (hard of hearing, physically or mentally incapacitated, etc.).
73	IN INSTITUTION	The person selected for interview is in an institution such as a mental hospital or is incarcerated (in prison/jail).
80	BUSINESS	The interviewer speaks with someone at the number and confirms that it is used for business purposes only.
81	GROUP QRTRS	Dormitories, half-way houses, group homes, sorority/fraternity houses, etc.
82	OTHER NONRESID	Dial-a-Joke or other non-residential numbers not covered in other event codes.
83	TEMP DWELLING	Temporary or seasonal dwelling such as a beach house or summer cottage where the same residents do not live year round.
89	DECEASED	Respondent died after the household was rostered.
95	COMPLETED INT	Completed interview.

### Status Codes

CODE	LABEL	DESCRIPTION/COMMENTS
<b>Pending Codes</b>		
00	NO ACTION	No dials have been made to the case.
10	NC-NO ANS MACH	No human or answering machine has been reached.
11	NC-HOME MACH	No human contact has occurred, but a non-business answering machine has been reached.
12	NC-BUS MACH	No human contact has occurred, but a business answering machine has been reached. The case will receive another call during business hours in attempt to verify whether or not anyone lives at the number.
20	CONT'D - APPT.	The household or person has been contacted and an appointment has been scheduled.
21	CONT'D -NO APPT	The household or person has been contacted but there is currently no appointment scheduled.
25	PEND LANG BARR	A language barrier call has occurred. The case will be called again during a different time slot.
30	REF-NO ACTION	An initial refusal has occurred and no conversion attempts have been made yet.
31	REF-ATTEMPT, NC	An initial refusal has occurred and conversion has been attempted, but no contact has been made with the household or person.
32	REF-W/HARD CB	An initial refusal has occurred earlier, but now the respondent has agreed to a callback.
<b>Final Codes</b>		
40	NONWORKING #	
41	BEEPER/PAGER	
42	MOBILE PHONE	
43	MODEM/FAX	
44	PAY PH/BLOCK #	
45	BUSINESS	Assigned when an interviewer confirms that the number is used only for business or when the first two calls to the case have resulted in a business answering machine.
46	GROUP QRTRS	Dormitories, half-way houses, residences in which ten or more unrelated persons reside.
47	OTHER NONRESID	Non-residential numbers not described in any other status codes.
48	TEMP DWELLING	Temporary or seasonal dwelling such as a beach house or summer cottage where the same residents do not live year round.
50	INELIGIBLE	The case is ineligible based project-specific screening criteria.
55	DECEASED	Respondent died after the household was rostered.
60	NO CONTACT	Assigned automatically at closeout when no human or answering machine has been contacted in 12 attempts.
61	NO CONT - MACH	Assigned automatically at closeout when no human has been contacted in 12 attempts, but one or more dials have reached a non-business answering machine.
70	UNAVAIL FOR DUR	The person selected for interview is in the country, but unavailable (e.g. in hospital, at camp) for the duration of study.
71	OUT OF COUNTRY	The person selected for interview is out of the country for the duration of the study.
72	INCAPABLE	The person selected for interview is incapable of completing a telephone interview (hard of hearing, physically or mentally incapacitated, etc.).

CODE	LABEL	DESCRIPTION/COMMENTS
73	IN INSTITUTION	The person selected for interview is in an institution such as a mental hospital or is incarcerated (in prison/jail).
75	# DISC AFT CONT	At some point after contact was made with the household or presumed household, the telephone number was disconnected.
80	FINAL REFUSAL	Assigned automatically when two refusals have occurred. May be manually set by supervisors in the case of hostile or threatening situations.
81	REF BY OTH-MULT	Assigned after three refusals by other or by supervisors when only one or two have occurred but the household is becoming hostile.
85	FINAL LANG BARR	After two attempts, we have not been able to identify anyone in the household who speaks English.
88	CONT - EXPIRED	Assigned automatically at closeout when a human has been contacted and did not refuse, but screening has not been completed in 12 attempts.
89	OTHER NON-INT	Assigned by the supervisor for noninterview situations not covered in any other status code.
90	PARTIAL COMP	Assigned automatically at closeout. The interview is only partially complete but no more attempts will be made.
95	COMPLETED INT	Completed interview.

### Event/Status Code Mapping and Calling Algorithms

Event	Current Status	New Status
02 Reset mssd appt	All	No change
03 CB, appt by TI	30 Ref-no action 31 Ref-attempt, NC	31 Ref-attempt, NC
	32 Ref-w/hard CB All others	No change 20 Cont'd-appt
04 Reset by sup	All	As provided by supervisor
11 Ring, no answer	00 No action	10 NC-no ans mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
12 Busy	00 No action	10 NC-no ans mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
On 10th call, if all events=12, set final status to 40. On first busy, set automated appointment for 15 minutes later to retry.		
13 Other ans mach	00 No action 10 NC-no ans mach 12 NC-bus mach	11 NC-home mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
15 Bus ans mach	12 NC-bus mach.	45 Business
	00 No action 10 NC-no ans mach 11 NC-home mach	12, NC-bus mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
If status=12, set allowable range for next call for weekday daytime slot.		

19 Mobile phone	00 No action 10 NC-no ans mach	42 Mobile phone
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
20 Modem/FAX	00 No action	10 NC-no ans mach
	10 AND any previous event of 20	43 Modem/FAX
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
Set allowable range for next call as all time slots except the current one. If screening has been completed: On 3rd straight outcome of 20 (or 12), flag for supervisor review.		
21 Line trouble	00 No action	10 NC-no ans mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
Set release date for 1 week later. If status=10, on 3rd straight call with outcome of 21, set final status to 40. If screening has been completed: On 3rd straight outcome of 21, set status to 75.		
22 Temp DC	00 No action	10 NC-no ans mach
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action	31 Ref-attempt, NC
	All others	No change
Set release date for 1 week later. If status=10, on 3rd straight call with outcome of 22, set final status to 40. If screening has been completed: On 3rd straight outcome of 22, set status to 75.		
23 Beeper/pager	00 No action 10 NC-no ans mach	41 Beeper/pager
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	No change
24 Pay ph/block #	All	44 Pay ph/blocked
25 NW or disc #	00 No action 10 NC-no ans mach 40 Nonworking number	40 Nonworking
	12 NC-bus mach	45 Business
	11 NC-home mach 12 NC-ans machine 20 Cont'd-appt 21 Cont'd-no appt. 30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB AND last event=25	75 # disc aft cont
	20 Cont'd-appt and last event not=25	21 Cont'd-no appt.

	30 Ref-no action, and last event not=25	31 Ref-attempt, NC
	All others	No change
If screening has been completed, set release date for 1 week later.		
27 # changed	00 No action 10 NC-no ans mach	40 Nonworking #
	12 NC-bus mach	45 Business
	All others	75 # disc after contact
30 CB, hard appt.	30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB	32 Ref-w/hard CB
	All others	20 Cont-d-appt
31 CB, soft appt.	30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	20 Cont'd-appt
35 Cont-no CB info	00 No action 10 NC-no ans mach 12 NC-bus mach	21 Cont'd-no appt
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, nc
	All others	No change

40 Lang. barr—Span	25 Pend lang barrier	85 Final lang barr
	00 No action 10 NC-no ans mach 11 NC-home mach 12 NC-bus mach	25 Pend lang barrier
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
Set allowable range for next call as all time slots except current one.		
41 Lang. barr—oth	25 Pend lang barrier	85 Final lang barr
	00 No action 10 NC-no ans mach 11 NC-home mach 12 NC-bus mach	25 Pend lang barr
	20 Cont'd-appt	21 Cont'd-no appt.
	30 Ref-no action 32 Ref-w/hard CB	31 Ref-attempt, NC
Set allowable range for next call as all time slots except current one.		
45 Ref by eligible.	30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB AND partial flag set	90 Partial interview
	30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB AND partial flag not set	80 Final refusal
	All others	30 Ref-no action
Set release date for 1 week later.		
46 Ref by other	30 Ref-no action 31 Ref-attempt, NC 32 Ref-w/hard CB	31 Ref-attempt, NC
	All others	21 Cont'd-no appt.
Set release date for 1 week later.		
70 Unavail for dur	All	70 Unavailable for dur
71 Out of country	All	71 Out of country
72 Incapable	All	72 Incapable
73 In institution	All	73 In institution
80 Business	All	45 Business
81 Group quarters	All	46 Group quarters
82 Other nonresid	All	47 Other nonresid
83 Temp dwelling	All	48 Temp dwelling
89 Deceased	All	55 Deceased
95 Completed int	All	95 Completed int

NOTE: For all cases with appointments, if a no-contact outcome occurs (event codes 11 - 15), CATI will automatically reset an appointment for 30 minutes later and leave the status code as is. On the



second attempt, there will be no automated reschedule and the status code will be updated according to the table